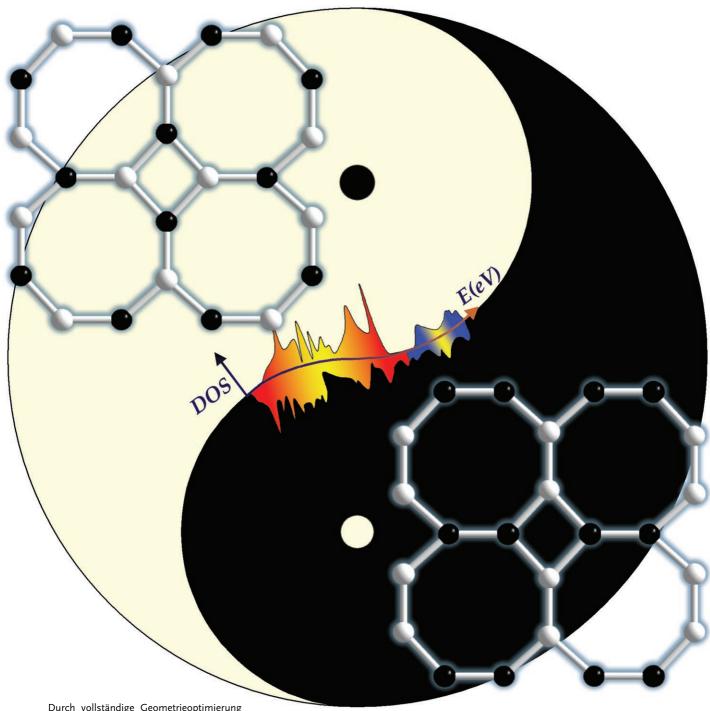


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DOI: 10.1002/ange.200503080



Durch vollständige Geometrieoptimierung ließen sich Metallborcarbid ( $MB_2C_2$ )-Phasen analysieren. Die Positionen der B- und C-Atome (im Englischen "coloring problem" genannt) konnten für dreiwertige Metalle mithilfe von DFT-Rechnungen eindeutig bestimmt werden. Einzelheiten dazu finden sich in der Zuschrift von J.-Y. Saillard, J.-F. Halet, X. Rocquefelte et al. auf den folgenden Seiten.



## **Inclusion Compounds**



DOI: 10.1002/ange.200503080

## Structural Preference versus Metal within the $MB_2C_2$ (M = Mg, Sc, Ca, Y, Ln) Phases: The Coloring Problem Revisited by DFT Calculations\*\*

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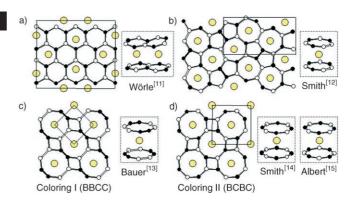
The chemistry of boron-containing compounds is particularly rich and offers an extremely broad variety of topologies.<sup>[1]</sup> In the case of the MB<sub>2</sub>C<sub>2</sub> phases (M = alkaline-earth or rareearth metal), for instance, the recent discovery of various potentially interesting properties has revived the interest of the scientific community. For example, CeB<sub>2</sub>C<sub>2</sub> is known to exhibit antiferromagnetic ordering at low temperature, [2] and antiferroquadrupolar transitions have been measured for DyB<sub>2</sub>C<sub>2</sub><sup>[3]</sup> and HoB<sub>2</sub>C<sub>2</sub>.<sup>[4]</sup> Akimitsu et al. reported that CaB<sub>2</sub>C<sub>2</sub> is a high-temperature ferromagnet, [5] although it was shown later by Mori and Otani that this ferromagnetism is due to the presence of an iron impurity. [6,7] Superconductivity at low temperature has also been reported for YB<sub>2</sub>C<sub>2</sub>, LuB<sub>2</sub>C<sub>2</sub>, and doped MgB<sub>2</sub>C<sub>2</sub>.<sup>[8]</sup> Although the properties of this family of compounds have been widely investigated, some of their structures are still debated, especially with respect to the position of B atoms versus C atoms (the so-called "coloring problem" [9]). Indeed, when the metal is a heavy element, it is not easy to differentiate between these two atoms, either by using X-ray or neutron-diffraction techniques.[10] Indeed, all the known MB<sub>2</sub>C<sub>2</sub> structures consist of the stacking of twodimensional (2D) B/C layers, with metal atoms in between. Four different 2D B/C topologies have been reported so far: fused six-membered rings in the 6<sup>3</sup> net of MgB<sub>2</sub>C<sub>2</sub><sup>[11]</sup> (Figure 1a), fused five- and seven-membered rings in the 57<sup>2</sup> net of ScB<sub>2</sub>C<sub>2</sub><sup>[12]</sup> (Figure 1b) and two different colorings of fused four- and eight-membered rings in the 482 net (Figure 1c, d). LaB<sub>2</sub>C<sub>2</sub> (Figure 1c) has been proposed by

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[\*\*] The authors thank the CCIPL (Nantes), PCIO (Rennes), and IDRIS (Orsay) computing centers for computational facilities.

Supporting information for this article is available on the WWW under http://www.angewandte.org or from the author.



**Figure 1.** Schematic representation of the  $MB_2C_2$  structure types. a)  $6^3$  net of  $MgB_2C_2$  (*Cmca*) and b)  $57^2$  net of  $ScB_2C_2$  (*Pbam*). Three models corresponding to the  $48^2$  net: c) Bauer model ( $P4_2/mmc$ ) and d) Smith (P4/mbm) and Albert (I4/mcm) models. The insets show the corresponding stackings.

Bauer and Bars<sup>[13]</sup> to adopt coloring I (BBCC), thus contradicting an earlier study of Smith et al. who suggested coloring II (BCBC; Figure 1 d). More recently, neutron-diffraction structures of various lanthanide derivatives were solved assuming the model of Smith et al. <sup>[14]</sup> On the other hand, Albert et al. <sup>[10,15,16]</sup> have shown that  $CaB_2C_2$  also adopts coloring II, but with a different stacking of the 2D layers (Figure 1 d). Thus, among the  $48^2$  nets three B/C orderings with two different in-plane colorings have been proposed so far, thereby leading to controversial reports concerning these structures. <sup>[17,18]</sup>

About 20 years ago, a very elegant theoretical tight-binding investigation of the 2D  $B_2C_2^{\ 2^-}$  network by Burdett et al.<sup>[19]</sup> led to the conclusion that coloring II is more stable than coloring I for this formal dianionic charge. Indeed, coloring II allows a band gap at the Fermi level to open, whereas coloring I does not. This prediction was experimentally confirmed later for  $CaB_2C_2$  (formally  $[Ca^{2+}, B_2C_2^{\ 2^-}]$ ) by Albert et al.<sup>[10,15,16]</sup> However, the question of the coloring remains open with one more electron per formula unit (f.u.), that is, when the metal is a trivalent metal. Indeed, the presence of an extra electron occupying antibonding states is expected to destabilize coloring II.

We report herein the first quantitative theoretical analysis of the structural preference of MB<sub>2</sub>C<sub>2</sub> (M = Mg, Ca, Sc, Y, La, Ce, and Lu) phases, including the answer to the coloring question for trivalent metals. Full geometric optimizations of the five structure types shown in Figure 1 were carried out for each metal at the DFT level. [20] The cohesive energies estimated from the optimized structures are given in Table 1. The stability of MgB<sub>2</sub>C<sub>2</sub> and ScB<sub>2</sub>C<sub>2</sub> in their experimentally characterized structures is well reproduced. This result supports our theoretical approach to estimating the relative stability of the models based on the 48<sup>2</sup> nets. Regardless of the metal, coloring II is significantly more stable than coloring I. CaB<sub>2</sub>C<sub>2</sub> is found to be more stable in its experimental structure.<sup>[15]</sup> In the case of the trivalent metals (Y, Ce, and La), coloring II is also found to be the most stable B/C arrangement, with little energy difference between the Albert and Smith models, as observed with Ca. The Smith and

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**Table 1:** Relative cohesive energies for different optimized  $MB_2C_2$  structures (meV f.u.<sup>-1</sup>).

M	Ionic radius [Å] <sup>[29]</sup>	Structure type						
		$MgB_2C_2$	ScB <sub>2</sub> C <sub>2</sub> 57 <sup>2</sup>	Coloring I 48 <sup>2 [a]</sup>	Coloring II 48 <sup>2 [b]</sup> 48 <sup>2 [c]</sup>			
Mg <sup>2</sup> +	0.78	0	370	1665	732	876		
S <sup>+</sup>	0.83	133	0	1202	486	399		
Lu³+	0.85	281	0	940	263	170		
Y3+	1.06	303	94	722	57	0		
Ca <sup>2+</sup>	1.06	169	316	722	0	89		
Ce <sup>3+</sup>	1.07	339	308	577	30	0		
La <sup>3+</sup>	1.22	437	592	625	0	6		

[a] Bauer model. [b] Albert model. [c] Smith model.

Albert models differ only by long-distance interactions between the B/C planes and so are expected to be very close in energy. This result suggests the possibility of defaults in the stacking of the 2D B/C nets, which should render the differentiation between B and C by diffraction techniques even more difficult. On the other hand, the ScB<sub>2</sub>C<sub>2</sub> structure type is found to be preferred when M = Lu, [25] thus indicating that the B/C topology is related to the ionic size of the metal. Although the structure of  $LuB_2C_2$  in the  $48^2$  net was recently reported, [18e] it appears from the present calculations that it should also be possible to stabilize this metal in the  $57^2$  network as well.

The agreement between the most stable computed structure and the corresponding experimentally characterized structure is excellent. Interatomic distances, for example, differ by less than 0.3, 1.3, and 1.5 % in the cases of  $M=Mg,^{[11]}$  Ca, $^{[15]}$  and Ce, $^{[18e]}$  respectively. For M=Sc, they differ by less than 1.2 %, except for the B-B distance, which is 5.8 % longer than in the rather inaccurate X-ray structure.  $^{[12]}$ 

The density of states of CaB<sub>2</sub>C<sub>2</sub> is shown in Figure 2 with both coloring I and II (Smith) structure types. As initially qualitatively predicted by Burdett et al., [19] and confirmed recently by Albert et al., [15] coloring II allows the opening of a

energy band gap at the Fermi level. This gap disappears in the case of the less stable coloring I. A rather similar situation occurs for LaB2C2, but with one more electron per f.u. In the case of coloring II, this electron occupies the conduction band above the pseudo band gap. Our results (Table 1) show clearly that this extra electron does not destabilize coloring II with respect to coloring I. From this point of view, it is noteworthy that identical B-C distances (short: 1.525 Å; long: 1.603 Å) are computed for CaB<sub>2</sub>C<sub>2</sub> and  $YB_2C_2$  in the Smith model despite of their different electron counts.

None of the trivalent metals led to the opening of an energy gap at the Fermi level in any structure type. Band gaps were found only with divalent metals and for the  $MgB_2C_2$  structure type (1.31<sup>[26]</sup> and 0.41 eV for Mg and G, respectively) and the Albert  $GaB_2C_2$  structure type (1.13 and 0.58 eV<sup>[26]</sup> for Mg and G, respectively).

Magishi et al. [28] recently deduced the components of the electric-field gradient (EFG) tensor of CeB<sub>2</sub>C<sub>2</sub> from <sup>11</sup>B NMR spectroscopic experiments to elucidate its magnetic properties. Such measurements combined with calculated EFG data can also be used to characterize the local environment of the probed atoms. This approach is exemplified by the <sup>11</sup>B and <sup>139</sup>La EFGs computed for different MB<sub>2</sub>C<sub>2</sub> phases using the WIEN2k code<sup>[24]</sup> (Table 2). A very good agreement between the experimental data of CeB<sub>2</sub>C<sub>2</sub> and the calculated data for coloring II is obtained. However, this agreement is not the case for coloring I. Note that, the EFGs are nearly the same in the Albert and Smith models. Such a result is expected because of the similar local environments of the boron atoms in these two models. For the other metals, calculations show that the EFG values, as in the case of Ce, allows differentiation between the two different colorings I and II but not between the Smith and Bauer models.

These calculations provide a clear theoretical answer to the coloring problem in these  $MB_2C_2$  phases. As this problem is experimentally difficult to solve unambiguously by using diffraction techniques (particularly with heavy metals), we suggested EFG measurements from NMR spectroscopy instead. Indeed, our calculations show that EFGs are very sensitive to atomic local environment, and therefore should easily discriminate between colorings I and II.<sup>[31]</sup>

Received: August 30, 2005 Published online: November 7, 2005

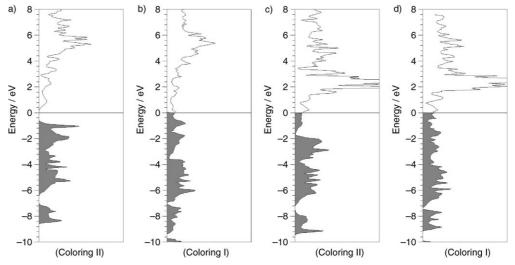


Figure 2. Total densities of states of  $CaB_2C_2$  (a, b) and  $LaB_2C_2$  (c, d). Colorings I and II correspond to the Bauer and Albert models, respectively.

**Table 2:** Calculated components of the EFG (in MHz using  $Q(^{11}B) = 0.04059$  and  $Q(^{139}La) = 0.200)^{[29]}$  for different optimized MB<sub>2</sub>C<sub>2</sub> structures.

	Lu <sup>11</sup> B <sub>2</sub> C <sub>2</sub>			Y <sup>11</sup> B <sub>2</sub> C <sub>2</sub>			$Ca^{11}B_2C_2$		
Coloring	I		II	I	II		I	II	
Model	Bauer	Albert	Smith	Bauer	Albert	Smith	Bauer	Albert	Smith
$v_{zz}$	0.59	0.66	0.75	0.61	0.71	0.81	0.79	1.37	1.33
v <sub>yy</sub>	-0.54	-0.45	-0.48	-0.54	-0.47	-0.50	-0.41	-0.78	-0.78
/ <sub>XX</sub>	-0.04	-0.21	-0.26	-0.07	-0.25	-0.30	-0.38	-0.59	-0.55
$\eta^{[a]}$	0.85	0.36	0.29	0.77	0.31	0.25	0.03	0.13	0.17

$Ce^{11}B_2C_2$				$L^{11}B_2C_2$				$^{139}\text{LaB}_2\text{C}_2$			
Coloring	I		II		I	I	I	1	I	I	
Model	Bauer	Albert	Smith	Exp. <sup>[28]</sup>	Bauer	Albert	Smith	Bauer	Albert	Smith	
$V_{ZZ}$	0.24	0.78	0.78	0.77	0.64	0.80	0.82	-3.64	-6.95	-6.82	
$V_{YY}$	-0.23	-0.50	-0.50	0.47	-0.51	-0.50	-0.51	1.82	3.47	3.41	
$V_{XX}$	-0.01	-0.29	-0.28	0.30	-0.13	-0.30	-0.31	1.82	3.47	3.41	
$\eta^{[a]}$	0.89	0.27	0.29	0.22	0.60	0.25	0.24	0.00	0.00	0.00	

[a]  $\eta = (|v_{XX}| - |v_{YY}|)/|v_{ZZ}|$ .

**Keywords:** boron carbides  $\cdot$  density functional calculations  $\cdot$  electronic properties  $\cdot$  inclusion compounds  $\cdot$  structural arrangements

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